

Schedule of Charges

Commission Rates		
UK Securities, Including Unit Trusts & Other Collective Investment Schemes	On the First £10,000 consideration	Up to 1.85%
	On the Next £250,000 consideration	Up to 0.6%
	Negotiable thereafter Minimum £30	
UK & Irish Government Securities	Up to 0.5% on all trades Minimum £30	
US & Other Overseas Securities*	Same rates as UK Securities above will apply Minimum Commission £50 plus any additional delivery costs that may be incurred	
Large Trades	For trades above £250,000, please contact us for further information	
Compliance Charge	£20 per bargain	
Wimbledon Charge	Conducted via an auction. 0.5% for execution	

*Additional custody and local charges may also apply to foreign securities – please speak to an adviser

Advisory / Management Fees	
ISAs & JISAs	Administration and management fee of 0.2% per quarter +VAT, paid quarterly in arrears based on the capital value of the portfolio, and subject to a minimum of £12.50 per quarter +VAT Normal commission rates apply
Investment Management	Annual fee at negotiable rate, paid half-yearly in arrears based on the capital value of the portfolio
Advisory Management	Annual fee at negotiable rate – speak to an advisor

Other Charges	
Ad hoc Valuations	£10 per request
Cash Cheque Withdrawal	£15
CHAPS Payment	£25
Nominee Transfer Charges	Electronic transfers out: £10 per security plus £25 per request Certificated transfers out: £25 per security plus £25 per request
Late Settlement Fee	£20 per occurrence (where client is at fault)
Debit Interest on Late Payments	Charges at the HSBC published overdraft rate
Unpaid Cheques	£50 per occasion
Withdrawals	Share Certificate or certified withdrawal from a nominee account: £20
SIPP / ISA / JISA Plan closure	£50
Stock Transfer out (per security)	£10
Voting (At UK shareholder meeting – UK Sector)	Per occasion £25
Dormant account fee	No trades (in a calendar year (£100)
VAT	All charges are subject to the applicable rate of VAT
Share Certificate	£20